

# Notes on Rank One Perturbed Resolvent. Perturbation of Isolated Eigenvalue.

S.A. Chorošavin

## Abstract

This paper is a didactic commentary (a transcription with variations) to the paper of S.R. Foguel *Finite Dimensional Perturbations in Banach Spaces*.

Addressed, mainly: postgraduates and related readers.

Subject: Suppose we have two linear operators,  $A, B$ , so that

$B - A$  is rank one.

Let  $\lambda_o$  be an *isolated* point of the spectrum of  $A$ :

$$\lambda_o \in \sigma(A).$$

In addition, let  $\lambda_o$  be an *eigenvalue* of  $A$ :

$$\lambda_o \in \sigma_{pp}(A).$$

The question is: Is  $\lambda_o$  in  $\sigma_{pp}(B)$  ? – i.e., is  $\lambda_o$  an eigenvalue of  $B$  ?

And, if so, is the multiplicity of  $\lambda_o$  in  $\sigma_{pp}(B)$  equal to the multiplicity of  $\lambda_o$  in  $\sigma_{pp}(A)$  ? – or less? – or greater?

Keywords: M.G.Krein's Formula, Finite Rank Perturbation

## Introduction

We continue to discuss the paper of S.R. FOGUEL, *Finite Dimensional Perturbations in Banach Spaces*, and we assume that the reader is familiar with our previous paper arXiv:math-ph/0312016.

The situation we will discuss is:

Let  $A$  and  $B$ , so that  $A - B$  is rank one <sup>1</sup>, i.e.,

$$B - A = -f_a < l_a|$$

for an element  $f_a$  and a linear functional  $l_a$ . Next, let  $\lambda_o$  be an *isolated* point of the spectrum of  $A$ :

$$\lambda_o \in \sigma(A).$$

In addition, let  $\lambda_o$  be an *eigenvalue* of  $A$ :

$$\lambda_o \in \sigma_{pp}(A).$$

The question is:

Is  $\lambda_o$  in  $\sigma_{pp}(B)$  ? – i.e., is  $\lambda_o$  an eigenvalue of  $B$  ?

And, if so, is the multiplicity of  $\lambda_o$  in  $\sigma_{pp}(B)$  equal to the multiplicity of  $\lambda_o$  in  $\sigma_{pp}(A)$  ? – or less? – or greater?

Foguel gave an answer in a very general situation. We will not discuss all his constructions. Instead, for technical reasons, we assume the underlying space  $\mathcal{H}$  to be *Hilbert*, and  $A$ ,  $B$  to be bounded and symmetric, hence *self-adjoint*, with respect to

$$(\cdot, \cdot) = \text{Hilbert inner product on } \mathcal{H}.$$

Thus we restrict ourselves by discussing the situation where there are fewer complications.

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<sup>1</sup>more accurately expressed, rank one or less

Recall some facts.

If  $\mathcal{A}$  is a self-adjoint operator, and if  $\lambda_o$  is a **non-real** number,  $Im \lambda_o \neq 0$ , then

$$\lambda_o - \mathcal{A} : D(\mathcal{A}) \subset \mathcal{H} \rightarrow \mathcal{H}$$

is a bijection, and, in addition

$$(\lambda_o - \mathcal{A})^{-1} : \mathcal{H} \rightarrow D(\mathcal{A}) \subset \mathcal{H}$$

is bounded.

If  $\mathcal{A}$  is a self-adjoint operator, and if  $\lambda_o$  is a **real** number,  $Im \lambda_o = 0$ , then

$$P_{\lambda_o}^{\mathcal{A}} := \text{strong} - \lim_{\epsilon \downarrow 0} (i\epsilon(\lambda_o + i\epsilon - \mathcal{A})^{-1})$$

exists and the **range** of  $P_{\lambda_o}^{\mathcal{A}}$  is the set of all  $f_{\lambda_o} \in D(\mathcal{A})$  such that

$$(\lambda_o - \mathcal{A})f_{\lambda_o} = 0.$$

In addition,  $P_{\lambda_o}^{\mathcal{A}}$  is a *projection* operator and a *self-adjoint* operator.

If  $\lambda_o$  is a **real** number,  $Im \lambda_o = 0$ , so that for all  $\lambda$  near  $\lambda_o$ , and  $\lambda \neq \lambda_o$ , it has occurred that

$$\lambda \in \rho(\mathcal{A}) = \text{the resolvent set of } \mathcal{A},$$

in other words, if  $\lambda_o \in \rho(\mathcal{A})$  or  $\lambda_o$  is an *isolated* point of  $\sigma(\mathcal{A}) = \text{spectrum of } \mathcal{A}$ , then

$$\begin{aligned} (\lambda - \mathcal{A})^{-1} &= \frac{P_{\lambda_o}^{\mathcal{A}}}{\lambda - \lambda_o} \\ &\quad + \mathcal{A}_{\lambda_o} - (\lambda - \lambda_o)\mathcal{A}_{\lambda_o}^2 + (\lambda - \lambda_o)^2\mathcal{A}_{\lambda_o}^3 - \dots \\ &\quad \text{for all } \lambda \text{ near } \lambda_o, \lambda \neq \lambda_o, \\ &\quad \text{and for a **bounded self-adjoint } \mathcal{A}_{\lambda_o}. \end{aligned}**$$

In particular, if  $\lambda_o$  is an *isolated* point of  $\sigma(\mathcal{A})$ , then  $\lambda_o \in \sigma_{pp}(\mathcal{A})$ .

Recall in addition, that

if  $A^{-1}$  exists and  $1 - \langle l_a | A^{-1} f_a \rangle \neq 0$ , then  $B^{-1}$  exists and, in addition,

$$B^{-1} - A^{-1} = \frac{A^{-1} f_a \langle l_a | A^{-1} f_a \rangle}{1 - \langle l_a | A^{-1} f_a \rangle}$$

On the other hand,

$$\text{if } 1 - \langle l_a | A^{-1} f_a \rangle = 0, \text{ then } BA^{-1} f_a = 0$$

if

$$Bv_0 = 0 \text{ and } v_0 \neq 0,$$

then

$$\langle l_a | v_0 \rangle \neq 0, 1 - \langle l_a | A^{-1} f_a \rangle = 0, BA^{-1} f_a = 0, \text{ and } v_0 = A^{-1} f_a \langle l_a | v_0 \rangle.$$

Notice that

$$(\lambda - B) - (\lambda - A) = -(B - A) = f_a \langle l_a |.$$

Thus, we conclude:

if  $(\lambda - A)^{-1}$  exists and  $1 + \langle l_a | (\lambda - A)^{-1} f_a \rangle \neq 0$ , then  $(\lambda - B)^{-1}$  exists and, in addition,

$$(\lambda - B)^{-1} - (\lambda - A)^{-1} = -\frac{(\lambda - A)^{-1} f_a \langle l_a | (\lambda - A)^{-1} f_a \rangle}{1 + \langle l_a | (\lambda - A)^{-1} f_a \rangle}$$

On the other hand,

$$\text{if } 1 + \langle l_a | (\lambda - A)^{-1} f_a \rangle = 0, \text{ then } (\lambda - B)(\lambda - A)^{-1} f_a = 0$$

if

$$(\lambda - B)v_0 = 0 \text{ and } v_0 \neq 0,$$

then

$$\langle l_a | v_0 \rangle \neq 0, 1 + \langle l_a | (\lambda - A)^{-1} f_a \rangle = 0, (\lambda - B)(\lambda - A)^{-1} f_a = 0,$$

and

$$v_0 = (\lambda - A)^{-1} f_a \langle l_a | v_0 \rangle.$$

Before starting, we shall recall that we prefer Dirac's "bra-ket" style of expressing, in the following form:

*Notation 1.* If  $f$  is an element of a linear space,  $X$ , over a field,  $K$ , then  $|f\rangle$  stands for the mapping  $K \rightarrow X$ , defined by

$$|f\rangle \lambda := \lambda f \quad .$$

*Notation 2.* If  $l$  is a functional and we wish to emphasise this factor, then we write  $\langle l|$  instead of  $l$ . We also write  $\langle l|f\rangle$  instead of  $\langle l||f\rangle$ , and write the terms  $|f\rangle\langle l|$  and  $f\langle l|$  interchangeably:

$$\langle l|f\rangle \equiv \langle l||f\rangle \equiv l(f) \quad , \quad f\langle l| \equiv |f\rangle\langle l| \quad .$$

Finally, we will restrict ourselves to the case where  $\langle l_a|$  is of the form:

$$\langle l_a|u\rangle := \alpha(f_a|u) \quad (u \in \mathcal{H}) \quad , \quad \text{for a real number } \alpha \quad .$$

In this case, it is naturally to use the notations:

$$\alpha(f_a| := \langle l_a| \quad \text{and} \quad |f_a\rangle := |f_a) \quad .$$

## 1 Perturbation of Isolated Eigenvalue.

Now, we turn to the relations, which links  $(\lambda - A)^{-1}$  and  $(\lambda - B)^{-1}$ , and which we now write as follows:

if  $(\lambda - A)^{-1}$  exists and  $1 + \alpha(f_a | (\lambda - A)^{-1} f_a) \neq 0$ , then  $(\lambda - B)^{-1}$  exists and, in addition,

$$(\lambda - \lambda_o)(\lambda - B)^{-1} - (\lambda - \lambda_o)(\lambda - A)^{-1} = -\alpha \frac{(\lambda - \lambda_o)(\lambda - A)^{-1} f_a (f_a | (\lambda - \lambda_o)(\lambda - A)^{-1} f_a)}{(\lambda - \lambda_o) \left( 1 + \alpha(f_a | (\lambda - A)^{-1} f_a) \right)}$$

Note that the denominator is equal to

$$\begin{aligned} (\lambda - \lambda_o) &+ \alpha(f_a | P_{\lambda_o}^A f_a) \\ &+ (\lambda - \lambda_o) \alpha(f_a | A_{\lambda_o} f_a) \\ &- (\lambda - \lambda_o)^2 \alpha(f_a | A_{\lambda_o}^2 f_a) + (\lambda - \lambda_o)^3 \alpha(f_a | A_{\lambda_o}^3 f_a) - \dots \end{aligned}$$

We distinguish three cases:

(a)

$$\alpha(f_a | P_{\lambda_o}^A f_a) \neq 0$$

(b)

$$\alpha(f_a | P_{\lambda_o}^A f_a) = 0, 1 + \alpha(f_a | A_{\lambda_o} f_a) \neq 0$$

(c)

$$(f_a | P_{\lambda_o}^A f_a) = 0, 1 + \alpha(f_a | A_{\lambda_o} f_a) = 0, \alpha \neq 0.$$

It is worthy to note that if

$$1 + \alpha(f_a | A_{\lambda_o} f_a) = 0$$

then

$$1 = |\alpha(f_a | A_{\lambda_o} f_a)|^2 \leq |\alpha|^2 (f_a | f_a) (A_{\lambda_o} f_a | A_{\lambda_o} f_a) = |\alpha|^2 (f_a | f_a) (f_a | A_{\lambda_o}^2 f_a)$$

As a result,

$$\text{if } 1 + \alpha(f_a | A_{\lambda_o} f_a) = 0, \text{ then } (f_a | A_{\lambda_o}^2 f_a) \neq 0$$

Now let

$$\lambda := \lambda_o + i\epsilon \text{ and } \epsilon \downarrow 0.$$

Then we infer:

(a)

$$\alpha(f_a|P_{\lambda_o}^A f_a) \neq 0$$

In this case,

$$\begin{aligned} P_{\lambda_o}^B - P_{\lambda_o}^A &= -\alpha \frac{P_{\lambda_o}^A f_a (f_a|P_{\lambda_o}^A)}{\alpha(f_a|P_{\lambda_o}^A f_a)} \\ &= -\frac{P_{\lambda_o}^A f_a (f_a|P_{\lambda_o}^A)}{(f_a|P_{\lambda_o}^A f_a)} \quad (\text{note that } \alpha \neq 0) \end{aligned}$$

In particular,

$$\dim P_{\lambda_o}^B = \dim P_{\lambda_o}^A - 1.$$

(b)

$$\alpha(f_a|P_{\lambda_o}^A f_a) = 0, \quad 1 + \alpha(f_a|A_{\lambda_o} f_a) \neq 0.$$

In this case, if  $\alpha = 0$ , then  $B = A$ ,  $P_{\lambda_o}^B = P_{\lambda_o}^A$ ,  $\dim P_{\lambda_o}^B = \dim P_{\lambda_o}^A$ . Otherwise,  $(f_a|P_{\lambda_o}^A f_a) = 0$  and

$$(P_{\lambda_o}^A f_a|P_{\lambda_o}^A f_a) = (f_a|P_{\lambda_o}^A f_a) = 0.$$

Hence

$$P_{\lambda_o}^A f_a = 0,$$

and

$$\begin{aligned} (\lambda - A)^{-1} f_a &= +A_{\lambda_o} f_a - (\lambda - \lambda_o) A_{\lambda_o}^2 f_a + (\lambda - \lambda_o)^2 A_{\lambda_o}^3 f_a - \dots \\ &\quad \text{for all } \lambda \text{ near } \lambda_o, \lambda \neq \lambda_o, \\ &\quad \text{and for a **bounded self-adjoint** } A_{\lambda_o}. \end{aligned}$$

$$P_{\lambda_o}^B - P_{\lambda_o}^A = 0.$$

In particular,

$$\dim P_{\lambda_o}^B = \dim P_{\lambda_o}^A,$$

as well.

(c)

$$(f_a|P_{\lambda_o}^A f_a) = 0, \quad 1 + \alpha(f_a|A_{\lambda_o} f_a) = 0, \quad \alpha \neq 0.$$

In this case, as well as in the case (b),

$$P_{\lambda_o}^A f_a = 0,$$

and

$$\begin{aligned} (\lambda - A)^{-1} f_a &= +A_{\lambda_o} f_a - (\lambda - \lambda_o) A_{\lambda_o}^2 f_a + (\lambda - \lambda_o)^2 A_{\lambda_o}^3 f_a - \dots \\ &\quad \text{for all } \lambda \text{ near } \lambda_o, \lambda \neq \lambda_o, \end{aligned}$$

However

$$\begin{aligned} P_{\lambda_o}^B - P_{\lambda_o}^A &= -\alpha \frac{A_{\lambda_o} f_a (f_a|A_{\lambda_o})}{-\alpha(f_a|A_{\lambda_o}^2 f_a)} \\ &= \frac{A_{\lambda_o} f_a (f_a|A_{\lambda_o})}{(f_a|A_{\lambda_o}^2 f_a)} \quad (\alpha \neq 0) \end{aligned}$$

In particular,

$$\dim P_{\lambda_o}^B = \dim P_{\lambda_o}^A + 1.$$

## 2 Example

Let  $\mathcal{T}$  stands for the functions transformation defined by

$$(\mathcal{T}u)(x) := -\frac{\partial^2 u(x)}{\partial x^2};$$

$\mathcal{T}_{DD}$  be the restriction of  $\mathcal{T}$  so that  $\mathcal{T}_{DD}$  acts on that functions,  $u$ , for which  $(\mathcal{T}u)(x)$  is defined at  $0 \leq x \leq 1$  and, in addition:

$$\begin{aligned} u(0) &= 0 \\ u(1) &= 0 \end{aligned}$$

We take  $L_2(0, 1)$ , as the underlying space  $\mathcal{H}$ . In this space,  $\mathcal{T}_{DD}$  is closable and symmetric. Moreover, it is essentially self-adjoint. That means that its closure,  $T_{DD}$ , is self-adjoint.

One can check that  $T_{DD}^{-1}$  exists and is an integral operator; its integral kernel is

$$G_{DD}(x, \xi) = - \left\{ \begin{array}{ll} x \cdot (\xi - 1) & , \quad \text{if } x \leq \xi \\ (x - 1) \cdot \xi & , \quad \text{if } \xi \leq x \end{array} \right\}$$

One can also check that

$$\sin(\pi x), \sin(2\pi x), \sin(3\pi x), \dots$$

are eigenfunctions of  $T_{DD}$  and, of course, of  $T_{DD}^{-1}$ . The corresponding eigenvalues of  $T_{DD}$  are

$$\pi^2, (2\pi)^2, (3\pi)^2, \dots$$

and that of  $T_{DD}^{-1}$  are

$$\frac{1}{\pi^2}, \frac{1}{(2\pi)^2}, \frac{1}{(3\pi)^2}, \dots$$

All eigenvalues are multiplicity-free. It is not very difficult to describe

$$(z - T_{DD})^{-1}.$$

This is an integral operator. Its integral kernel is

$$G_{DD}(x, \xi, z) = -\frac{1}{k \sin(k)} \left\{ \begin{array}{ll} \sin(kx) \sin(k(1 - \xi)) & , \quad \text{if } x \leq \xi \\ \sin(k\xi) \sin(k(1 - x)) & , \quad \text{if } \xi \leq x \end{array} \right\}$$

where  $k$  is defined by  $k^2 = z$ ,

and where, of course,  $z$  is to be so, that

$$\sin(k) \neq 0.$$

As for

$$(\lambda - T_{DD}^{-1})^{-1},$$

it is not very difficult to describe it as well: A general (and quite standard) argumentation is:

$$\begin{aligned} (\lambda - T_{DD}^{-1})^{-1} &= T_{DD}(\lambda T_{DD} - I)^{-1} \\ &= \frac{1}{\lambda} \left( \lambda T_{DD}(\lambda T_{DD} - I)^{-1} \right) \\ &= \frac{1}{\lambda} \left( (\lambda T_{DD} - I + I)(\lambda T_{DD} - I)^{-1} \right) \\ &= \frac{1}{\lambda} \left( I + (\lambda T_{DD} - I)^{-1} \right) \\ &= \frac{1}{\lambda} \left( I - \frac{1}{\lambda} \left( \frac{1}{\lambda} - T_{DD} \right)^{-1} \right) \quad (\text{naturally, here } \lambda \neq 0). \end{aligned}$$



Now, we let

$$\begin{aligned} A &:= T_{DD}^{-1} \\ B &:= A + \alpha f_a |f_a| \end{aligned}$$

where  $f_a$  is defined by

$$f_a(x) := (x - \frac{1}{2}),$$

and let us apply the theory described in the previous section. So, let

$$f_z := z(z - T_{DD})^{-1} f_a,$$

i.e.,

$$\begin{aligned} z f_z(x) + \frac{\partial^2 f_z(x)}{\partial x^2} &= z(x - \frac{1}{2}), \\ f_z(0) &= 0, \\ f_z(1) &= 0. \end{aligned}$$

Hence

$$\begin{aligned} f_z(x) &= (x - \frac{1}{2}) - \frac{1}{2} \frac{\sin(k(x - \frac{1}{2}))}{\sin(k(\frac{1}{2}))} \\ &\text{where } k \text{ is defined by } k^2 = z, \end{aligned}$$

and where, recall,  $z$  is such that

$$\sin(k) \neq 0.$$

Thus we deduce:

$$\begin{aligned} \left((-I + z(z - T_{DD})^{-1})f_a\right)(x) &= -f(x) + f_z(x) \\ &= -(x - \frac{1}{2}) + (x - \frac{1}{2}) - \frac{1}{2} \frac{\sin(k(x - \frac{1}{2}))}{\sin(k(\frac{1}{2}))} \\ &= -\frac{1}{2} \frac{\sin(k(x - \frac{1}{2}))}{\sin(k(\frac{1}{2}))} \\ &\text{where } k \text{ is defined by } k^2 = z, \end{aligned}$$

$$\begin{aligned} (f_a | (-I + z(z - T_{DD})^{-1}) f_a) &= -\frac{1}{2} \int_0^1 (\xi - \frac{1}{2}) \frac{\sin(k(\xi - \frac{1}{2}))}{\sin(k(\frac{1}{2}))} d\xi \\ &= \frac{1}{2} \int_{\xi=0}^1 (\xi - \frac{1}{2}) \frac{d \cos(k(\xi - \frac{1}{2}))}{k \sin(k(\frac{1}{2}))} \\ &= \frac{1}{2} \frac{\cos(k(\frac{1}{2}))}{k \sin(k(\frac{1}{2}))} - \frac{1}{2} \int_{\xi=0}^1 \frac{\cos(k(\xi - \frac{1}{2}))}{k \sin(k(\frac{1}{2}))} d(\xi - \frac{1}{2}) \\ &= \frac{1}{2} \frac{\frac{1}{2} \cos(k(\frac{1}{2}))}{k \sin(k(\frac{1}{2}))} - \frac{1}{k^2} \end{aligned}$$

$$\begin{aligned} 1 + z \alpha (f_a | (-I + z(z - T_{DD})^{-1}) f_a) &= 1 + k^2 \alpha \left( \frac{\frac{1}{2} \cos(k(\frac{1}{2}))}{k \sin(k(\frac{1}{2}))} - \frac{1}{k^2} \right) \\ &= 1 + \alpha \left( \frac{k \cos(\frac{k}{2})}{2 \sin(\frac{k}{2})} - 1 \right) \end{aligned}$$

*We conclude :*

The new eigenvalues,  $\lambda_n$ , are defined by

$$1 + z_n \alpha(f_a | (-I + z_n(z_n - T_{DD})^{-1})f_a) = 0 ,$$

i.e., by

$$1 + \alpha \left( \frac{k_n}{2} \frac{\cos(\frac{k_n}{2})}{\sin(\frac{k_n}{2})} - 1 \right) = 0 ,$$

and the associated eigenfunctions are

$$\left( (-I + z_n(z_n - T_{DD})^{-1})f_a \right)(x) = -\frac{1}{2} \frac{\sin(k_n(x - \frac{1}{2}))}{\sin(k_n(\frac{1}{2}))}$$

Now, let

$$\alpha := 1 .$$

In this case, the solutions to

$$1 + \alpha \left( \frac{k_n}{2} \frac{\cos(\frac{k_n}{2})}{\sin(\frac{k_n}{2})} - 1 \right) = 0$$

are

$$k_n = \pi, 3\pi, 5\pi, \dots ,$$

which conflicts with

$$\lambda_n \in \rho(T_{DD}^{-1}) .$$

Hence, there are **no new eigenvalues**, if  $\alpha = 1$  (!!)

Now, let us analyse

$$\lambda_o - B$$

in the case where

$$\lambda_o \in \sigma(T_{DD}^{-1}), \lambda_o \neq 0 ,$$

i.e., where

$$k_o \in \{ \pi, 2\pi, 3\pi, 4\pi \dots \} .$$

Firstly we notice that  $\lambda_o$  is multiplicity-free (in  $\sigma(A)$ ) and that a corresponding eigenfunction,  $f_{\lambda_o}$ , is such that

$$f_{\lambda_o}(x) = \sin(k_o x) \quad (x \in [0, 1]) .$$

We have:  $(f_{\lambda_o} | f_{\lambda_o}) = 1/2$ , hence,

$$P_{\lambda_o}^A = 2f_{\lambda_o}(f_{\lambda_o} |$$

In accordance with the scheme which had been formed in the previous section, we analyse  $(f_a|P_{\lambda_o}^A f_a)$ . We have,

$$\begin{aligned}
 (f_a|P_{\lambda_o}^A f_a) &= (f_a|2f_{\lambda_o})(f_{\lambda_o}|f_a) \\
 &= 2 \left| \int_0^1 \left( \xi - \frac{1}{2} \right) \sin(k_o \xi) d\xi \right|^2 \\
 &= 2 \left| \left( -\frac{\xi}{k_o} \cos(k_o \xi) + \frac{1}{k_o^2} \sin(k_o \xi) + \frac{1}{2k_o} \cos(k_o \xi) \right) \right|_{\xi=0}^{\xi=1} \right|^2 \\
 &= 2 \left| \frac{1}{2k_o} (1 + \cos(k_o)) \right|^2.
 \end{aligned}$$

We divide the analysis into two parts.

If

$$k_o \in \{2\pi, 4\pi, 6\pi \dots\},$$

then

$$(f_a|P_{\lambda_o}^A f_a) = \frac{2}{k_o^2}.$$

In this case,

$$(f_a|P_{\lambda_o}^A f_a) \neq 0.$$

Hence, if

$$k_o \in \{2\pi, 4\pi, 6\pi \dots\},$$

then

$$\dim P_{\lambda_o}^B = \dim P_{\lambda_o}^A - 1 = 1 - 1 = 0$$

and

$$\lambda_o \text{ is no eigenvalue of } B.$$

On the contrary, if

$$k_o \in \{\pi, 3\pi, 5\pi \dots\},$$

then

$$(f_a|P_{\lambda_o}^A f_a) = 0.$$

Hence, if

$$k_o \in \{\pi, 3\pi, 5\pi \dots\},$$

then

$$\dim P_{\lambda_o}^B \geq \dim P_{\lambda_o}^A = 1$$

and

$$\lambda_o \text{ is an eigenvalue of } B.$$

And what is equal then the multiplicity of  $\lambda_o$  to?

To answer this question, let us analyse

$$\begin{aligned} 1 + \alpha(f_a | A_{\lambda_o} f_a) &= 1 + \lim_{\epsilon \downarrow 0} (f_a | \left( (\lambda_o + i\epsilon - A)^{-1} - \frac{P_{\lambda_o}^A}{i\epsilon} \right) | f_a) \\ &= 1 + \lim_{\epsilon \downarrow 0} (f_a | \left( (\lambda_o + i\epsilon - A)^{-1} \right) | f_a) \end{aligned}$$

Notice,

$$\begin{aligned} 1 + (f_a | \left( (\lambda - A)^{-1} \right) | f_a) &= 1 + z\alpha(f_a | (-I + z(z - T_{DD})^{-1}) f_a) \\ &= 1 + k^2 \alpha \left( \frac{\frac{1}{2} \cos(k(\frac{1}{2}))}{k \sin(k(\frac{1}{2}))} - \frac{1}{k^2} \right) \\ &= 1 + \alpha \left( \frac{k \cos(\frac{k}{2})}{2 \sin(\frac{k}{2})} - 1 \right) \\ &= \frac{k \cos(\frac{k}{2})}{2 \sin(\frac{k}{2})} \quad (\text{because } \alpha = 1). \end{aligned}$$

Hence,

$$1 + \alpha(f_a | A_{\lambda_o} f_a) = 0.$$

Thus, we conclude:

If

$$k_o \in \{ \pi, 3\pi, 5\pi \dots \},$$

then

$$\text{the multiplicity of } \lambda_o = 2.$$

*Exercise.* Compare  $B$  with the Green's function generated by  $-\frac{\partial^2}{\partial x^2}$  on  $[0, 1]$  and relations

$$u(0) = -u(1) \quad , \quad \frac{\partial u}{\partial x} \Big|_{x=0} = -\frac{\partial u}{\partial x} \Big|_{x=1}.$$

## References

- [Fog] S.R. FOGUEL, *Finite Dimensional Perturbations in Banach Spaces*, American Journal of Mathematics, Volume 82, Issue 2 ( Apr., 1960 ), 260-270